



Attorney's Docket No.: 17146-008001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Stephen K. Pinto et al.      Art Unit : 2123  
Serial No. : 10/826,947      Examiner : Unknown  
Filed : April 16, 2004      Conf. No. : 1609  
Title : PREDICTIVE MODEL VALIDATION

**MAIL STOP AMENDMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Please consider the references listed on the attached PTO-1449 form.

Copies of United States patent documents will be provided upon request. Copies of all non-U.S. patent documents and other documents are enclosed. A copy of a communication from a foreign patent office in a counterpart application is also enclosed.

This statement is being filed within three months of the filing date of the application or before the receipt of a first action on the merits. Please apply any charges or credits to deposit account no. 06-1050, reference 17146-008001.

Date: 12/15/06

Respectfully submitted,

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Substitute Form PTO-1449 (Modified)  <b>Information Disclosure Statement</b> by Applicant (Use several sheets if necessary)  (37 CFR §1.98(b))	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 17146-008001	Application No. 10/826,947
	Applicant Stephen K. Pinto et al.		
	Filing Date April 16, 2004	Group Art Unit 2123	

**U.S. Patent Documents**

Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
	AA	6,430,539	08/06/2002	Lazarus et al.			
	AB	6,879,971	04/12/2005	Keeler et al.			
	AC	6,954,758	10/11/2005	O'Flaherty			
	AD	7,043,461	05/09/2006	Kedher et al.			
	AE	7,047,251	05/16/2006	Reed et al.			
	AF	2005/0096950	05/2005	Caplan et al.			
	AG	2003/0004777	01/2003	Phillips			
	AH	5,621,812	04/1997	Deaton et al.			
	AI	5,592,560	01/1997	Deaton et al.			
	AJ	5,583,763	12/1996	Atcheson et al.			
	AK						

**Foreign Patent Documents or Published Foreign Patent Applications**

Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation	
							Yes	No
	AL	WO 02/19061	07/03/2002	WIPO				
	AM	WO 03/005232	16/01/2003	WIPO				
	AN	PCT/US05/11749	11/2/2006	Copy of PCT ISR				
	AO							
	AP							

**Other Documents (include Author, Title, Date, and Place of Publication)**

Examiner Initial	Desig. ID	Document
	AQ	Harrison, H.C., "An Intelligent Business Forecasting System," ACM May 1993, pp. 229-236.
	AR	Haughton et al., "A Review of Software Packages for Data Mining," The American Statistician, November 2003, Vol. 57, No. 5, pp. 290-309.
	AS	Angus, Enterprise Miner 5.1 Digs Into Data, InforWorld, August 9, 2004, Vol. 26, No. 32, pp. 22-23.
	AT	Chapman et al., CRISP-DM 1.0 Step-by-Step Data Mining Guide, August 2000, pp. 27-31, 53-59, 71-79.

Examiner Signature	Date Considered
EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

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(37 CFR §1.98(b))			

Other Documents (include Author, Title, Date, and Place of Publication)		
Examiner Initial	Desig. ID	Document
	AU	Cabena et al., Intelligent Miner for Data Applications Guide, IBM Redbook, SG24-5252-00, March 1999, pp. 9-17, 27-35, 69-77, 87-108, 111-128.
	AV	Schikora et al., Efficacy of End-User Neural Network and Data Mining Software for Predicting Complex System Performance, International Journal of Production Economics, Vol. 84, pp. 231-53, June 2003.
	AW	Bhattacharyya, Evolutionary Algorithms in Data Mining: Multi-Objective Performance Modeling for Direct Marketing, Proceedings of the Sixth ACM SIGKDD International Conference on Knowledge Discovery and Data Mining, pp. 465-73, Aug. 2000.
	AX	Pearce et al., Experimentation and Self Learning in Continuous Database Marketing, IEEE International Conference on Data Mining, pp. 775-8, December 2002.
	AY	Etzion et al., e-CLV: A Modeling Approach for Customer Lifetime Evaluation In e-Commerce Domains, With an Application and Case Study for Online Auctions, IEEE International Conference on e-Technology, e-Commerce and e-Service, pp. 149-56, March 2004.

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